PTO/SB/21 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 

8

# **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

10/531,944-Conf. #4506 Filing Date October 28, 2003 First Named Inventor John N. Argyropoulos Art Unit 1714 **Examiner Name** P. D. Niland Attorney Docket Number 62848A US

	EN	ICLOSURES (Check all	that app	ly)		
Fee Trans	mittal Form	Drawing(s)		After Allowance Communication to TC		
Fee	Attached	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
x Amendme	nt/Reply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
x After	Final	Petition to Convert to a Provisional Application		Proprietary Information		
Affid	avits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address		Status Letter		
Extension	of Time Request	Terminal Disclaimer		X Other Enclosure(s) (please Identify below):		
Express Al	bandonment Request	Request for Refund		Return Receipt Postcard		
Information Disclosure Statement		CD, Number of CD(s)				
Certified Copy of Priority Document(s)		Landscape Table on				
Reply to Missing Parts/ Incomplete Application		Remarks				
Reply to Missing Parts under 37 CFR 1.52 or 1.53						
	SIGNATI	JRE OF APPLICANT, ATTOR	RNEY, OR	AGENT		
Firm Name	THE DOW CHEMICAL CO.					
Signature	N. Kon		-			
Printed name	Stephen P. Krupp					
Date	January 24, 2007		Reg. No.	34,366		

the date shown below with sufficient postage	by paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on as First Class Mail, in an enveloge addressed to: MS AF, Commissioner for Patents, P.O. Box
1450, Alexandria, VA 22313-1450.	
, , , , , , , , , , , , , , , , , , , ,	
Dated: January 24, 2007	Signature: (Nakia McGhee)

JAN 29 2007 W

## AMENDMENT TRANSMITTAL LETTER

Docket No. 62848A US

Application No. 10/531,944-Conf. #4506

Filing Date October 28, 2003 Examiner P. D. Niland

Art Unit 1714

Applicant(s):	John N. Argyropoulos,	Paul Foley,	Debkumar Bhattacharjee	and Bedri Erdem
---------------	-----------------------	-------------	------------------------	-----------------

Invention: POLYURETHANE DISPERSION AND ARTICLES PREPARED THEREFROM

#### TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

		CLAIM	S AS AMENI	DED		
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present		Rate	
Total Claims	25	- 21 =	4	X	50.00	200.00
Independent Claims	4	- 3 =	1	х	200.00	200.00
Multiple Depend	fent Claims (ch	eck if applicabl	e)			
Other fee (pleas	e specify):					
TOTAL ADDIT	IONAL FEE FO	R THIS AME	NDMENT:			400.00
x Large Entity					Small Entity	
No additional fee is required for this amendment.						
X Please charge A duplicate of	ge Deposit Acc			the a	mount of \$_	400.00 .
A check in the amount of \$ to cover the filing fee is enclosed.						
Payment by credit card. Form PTO-2038 is attached.						
X The Director as described	is hereby auth I below. A dup					o. <u>04-1512</u>
x Credit any overpayment.						
Charge a	any additional fili	ng or applicatio	n processing f	ees red	quired under 3	37 CFR 1.16 and 1.17.
Stephen P. Krup Attorney/Agent		966			Dated:	January 24, 2007
THE DOW CHE 2030 Dow Cent Midland, Michig (979) 238-2889	er an 48674					

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: January 24, 2007

Signature:

\_ (Nakia McGhee)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS AF, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

Dated: January 24, 2007

(Nakia McGhee)

Docket No.: 62848A US

(PATENT)

1007 e 9 MAI

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Patent Application of:

John N. Argyropoulos, Paul Foley, Debkumar Bhattacharjee, and Bedri Erdem

Application No.: 10/531,944

Confirmation No.: 4506

Filed: October 28, 2003

Art Unit: 1714

For: POLYURETHANE DISPERSION AND

ARTICLES PREPARED THEREFROM

Examiner: P. D. Niland

### **AMENDMENT AFTER FINAL ACTION UNDER 37 C.F.R. 1.116**

MS AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

#### INTRODUCTORY COMMENTS

In response to the Office Action dated December 13, 2006, finally rejecting claims 1,3-11 and 13-19, please amend the above-identified U.S. patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.

G1/29/2007 CNEGA1 00000041 041512 10531944

31 FC:1231 200.00 DA 62 FC:1202 200.00 DA